

# CO<sub>2</sub> MONITORING FOR OUTDOOR AIRFLOW AND DEMAND CONTROLLED VENTILATION

---

Tom Lawrence, Ph.D. P.E., LEED-AP,  
F. ASHRAE  
[lawrence@engr.uga.edu](mailto:lawrence@engr.uga.edu)



College of Engineering  
UNIVERSITY OF GEORGIA

# ASHRAE WILL GIVE YOU THE WORLD

T  
E  
A  
C  
H

Give Back to ASHRAE



GROW



NETWORK



SHARE

LEARN

This ASHRAE Distinguished Lecturer is brought to you by the  
Society Chapter Technology Transfer Committee

# VOLUNTEER!



## BECOME A FUTURE LEADER IN ASHRAE – WRITE THE NEXT CHAPTER IN YOUR CAREER

ASHRAE Members who attend their monthly chapter meetings become leaders and bring information and technology back to their job.

### YOU ARE NEEDED FOR:

- ❖ Membership Promotion
- ❖ Research Promotion
- ❖ Student Activities
- ❖ Chapter Technology Transfer Technical Committees



Find your Place in ASHRAE! Visit [www.ashrae.org](http://www.ashrae.org)



ASHRAE is a Registered Provider with The American Institute of Architects Continuing Education Systems. Credit earned on completion of this program will be reported to CES Records for AIA members. Certificates of Completion for non-AIA members are available on request.

This program is registered with the AIA/CES for continuing professional education. As such, it does not include content that may be deemed or construed to be an approval or endorsement by the AIA of any material of construction or any method or manner of handling, using, distributing, or dealing in any material or product. Questions related to specific materials, methods, and services will be addressed at the conclusion of this presentation.

**This course has been approved for 1 LU/HSW by AIA and the course number is CO2DCV19. The course material also qualifies for Health, Safety, and Welfare Program (HSW) credit**



# EDUCATION PARTNER

## CO<sub>2</sub> Monitoring for Outdoor Airflow and Demand- Controlled Ventilation

By Tom Lawrence

GBCI cannot guarantee that course sessions will be delivered to you as submitted to GBCI. However, any course found to be in violation of the standards of the program, or otherwise contrary to the mission of GBCI, shall be removed. Your course evaluations will help us uphold these standards.

•Course ID: 0920020152

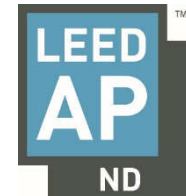
Approved for:

1

General CE hours

0

LEED-specific hours

☐☐☐☐☐☐



## **Course Description:**

### **CO2 Monitoring for Outdoor Airflow and Demand-Controlled Ventilation**

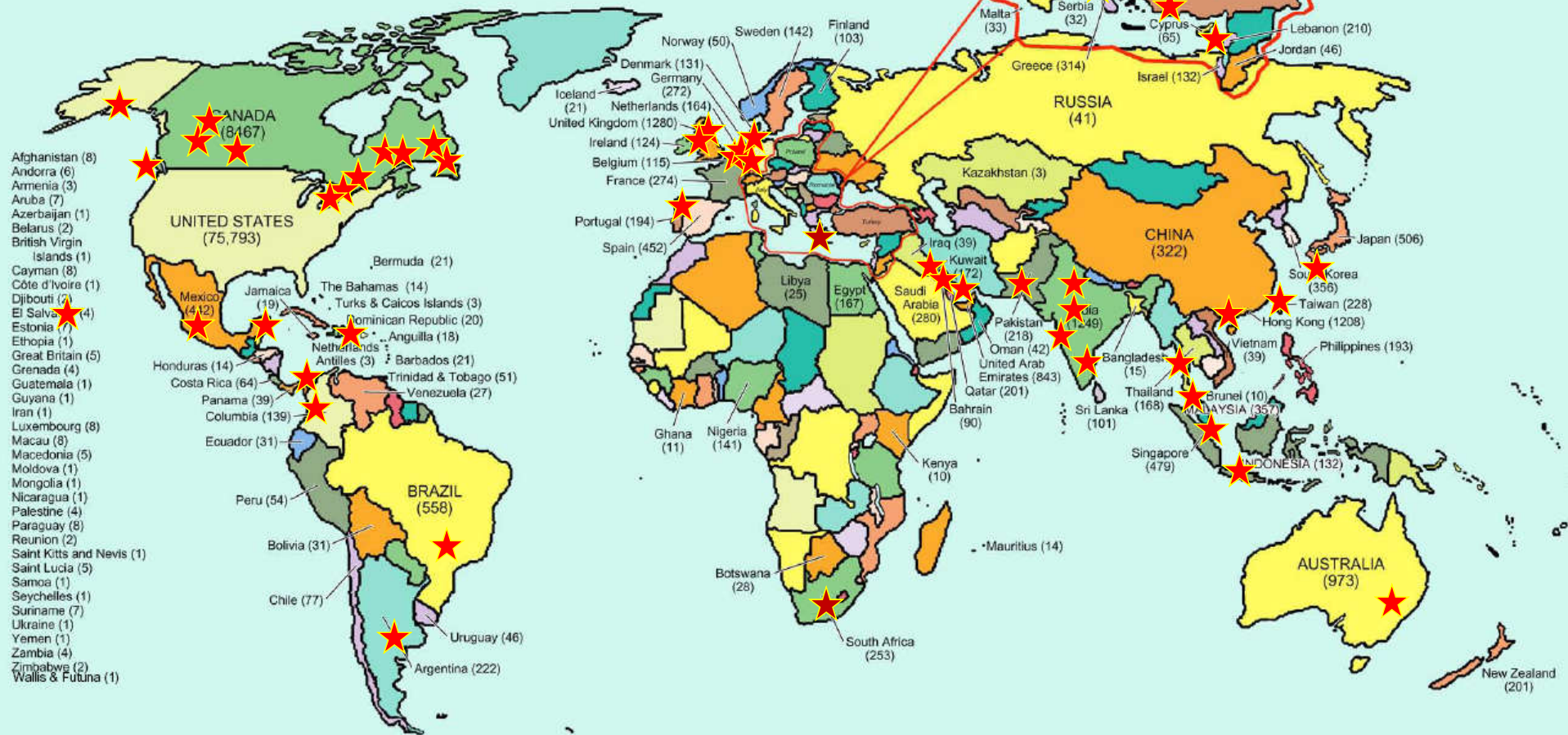
**A number of programs and standards that exist for buildings today specify the use of outdoor air monitoring. Monitoring is to be done either based on CO<sub>2</sub> levels in the occupied space or actual measurement of outdoor airflow, depending on the space design occupancy and ventilation type (mechanical or natural). Current standards or program descriptions do not provide detailed guidance for determining what level of CO<sub>2</sub> should be considered the maximum concentration to expect, and those that do provide guidance are generally based on a single value above the ambient concentration. This session describes how to determine a level for CO<sub>2</sub> concentrations for an outdoor airflow monitoring program or as part of the upper control limit for a demand-controlled ventilation system.**

# Learning Objectives

1. Recognize the limitations and benefits of using CO<sub>2</sub> levels to monitor outdoor ventilation rates
2. Distinguish between the different expected levels of steady-state CO<sub>2</sub> levels for different space type
3. Explain the rationale for the different parameters in the ventilation rate procedure calculations
4. Describe the differences in requirements for outdoor air monitoring between Standard 189.1 and the LEED programs

**Keywords:** Ventilation rates, monitoring, steady-state CO<sub>2</sub> level, Standard 62.1, demand-controlled ventilation

# ASHRAE related speaking events outside U.S. mainland



**High Performance Buildings and related topics are becoming a big focus around the globe**



# Overall Outline

- Brief overview of what is proper ventilation rate, and methods to introduce into the space?
- Maintaining and monitoring of indoor air quality in buildings
  - LEED existing buildings on IEQ
  - CO<sub>2</sub> based monitoring versus  
Direct measurement of outdoor airflow
  - What CO<sub>2</sub> levels are appropriate?
    - Monitoring
    - Demand-controlled ventilation setpoint
- Demand control ventilation design and issues

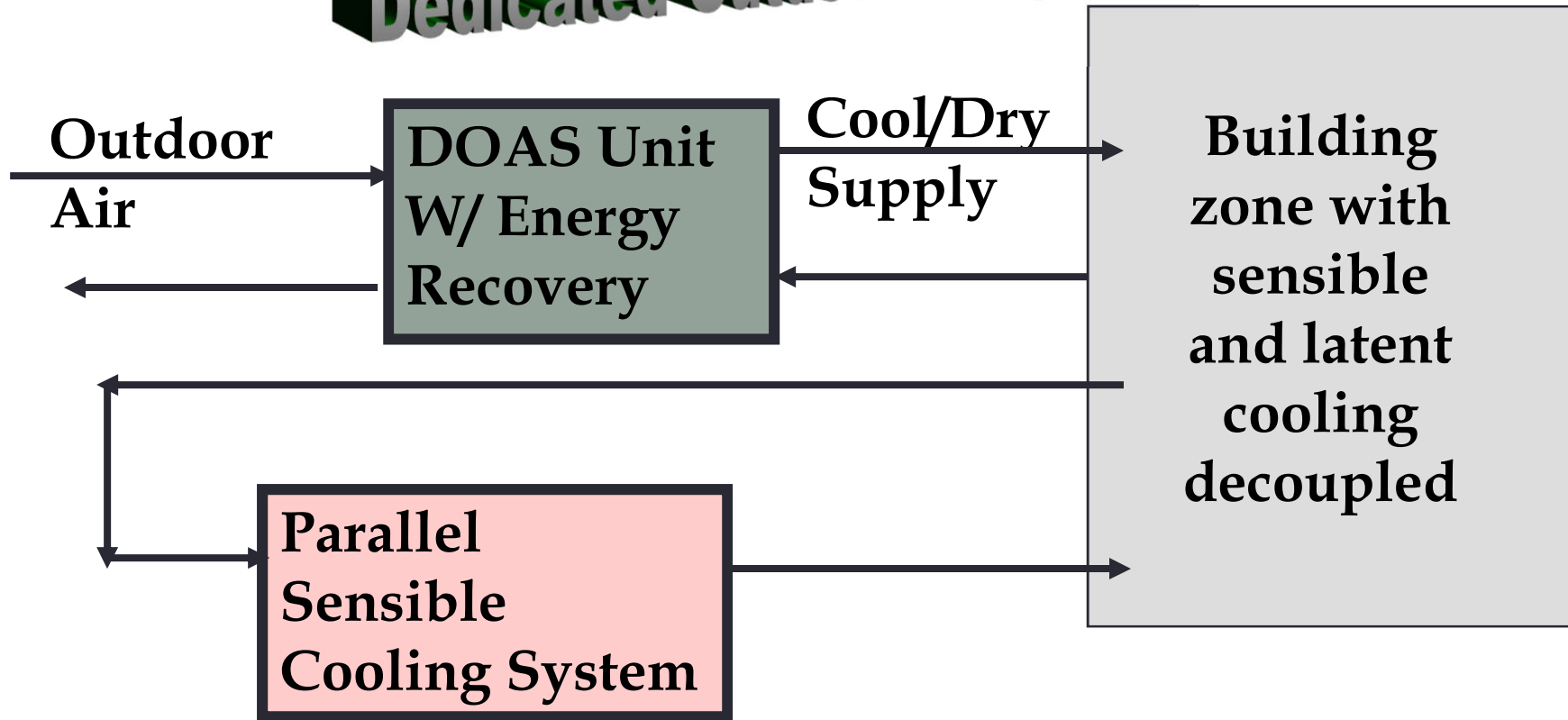
## Example HVAC 'Green' Technology Areas for Focus and Current Trends

- **Ventilation, indoor air quality**

***First, why are these so important?***

- Ventilation necessary for good indoor air quality
- Energy used to condition outdoor air
- How much ventilation air is needed?
- Does it matter how ventilation is provided?

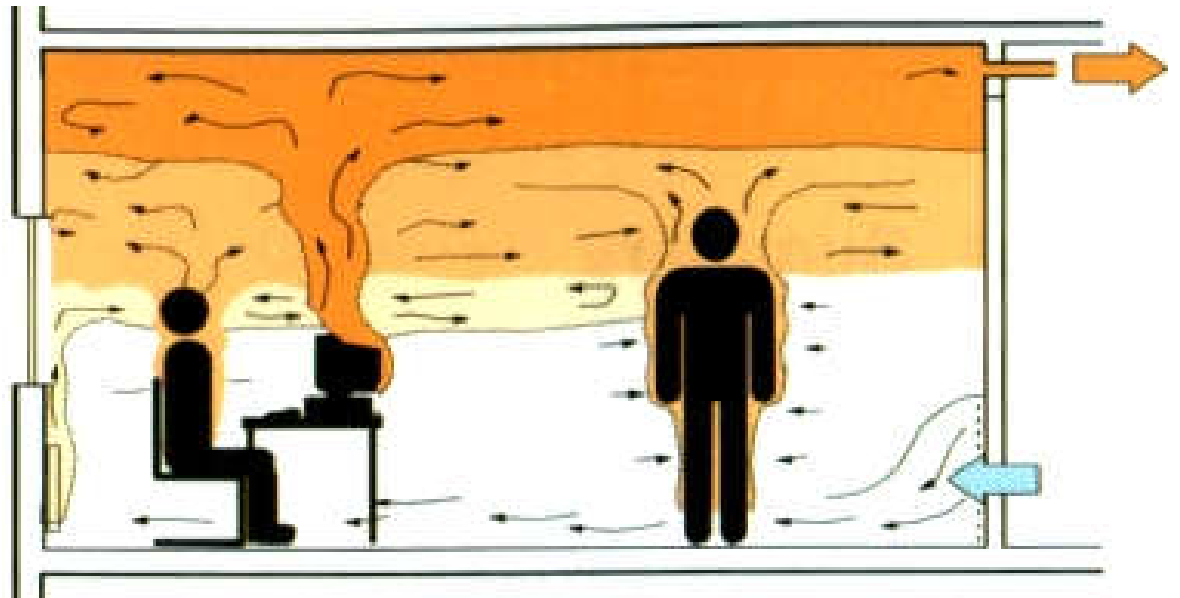
## Green Tip #11 Dedicated Outdoor Air Systems



**Source: Stanley A. Mumma,  
Ph.D., P.E.  
Fellow ASHRAE**

## Green Tip: Displacement Ventilation

- Works well in school classroom, lecture hall, auditorium, large open area
- Not same as Underfloor Air Distribution



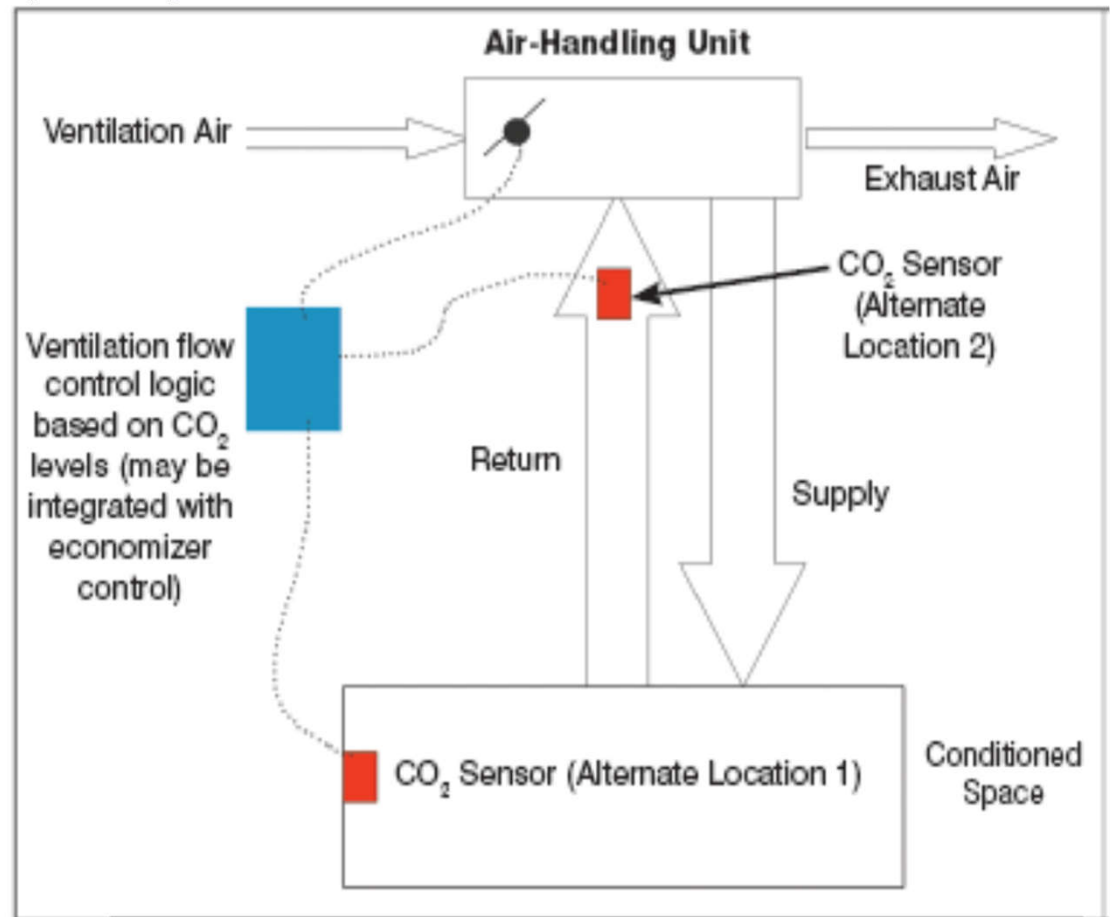
## Green Tip

### Demand Controlled Ventilation

#### Concept

- Uses CO<sub>2</sub> measurement or other method to indirectly determine level of occupancy and ventilation needed

**What factors are involved with CO<sub>2</sub> estimate of occupancy?**







**Why is Indoor Air Quality Important?**

- **We spend about 90% of our time indoors**
- **Adults breathe 20-30K times per day**
- **Air pollutants are 2-5x higher inside than out**
- **There are thousands of chemicals and biological pollutants known today**
- **More are discovered as building materials evolve**



# What can we find in the air we breathe?



- **Volatile Organic Compounds (VOCs)**
- **Inorganic and organic particulates, allergens**
- **Formaldehydes/Aldehydes**
- **Inorganic & combustion gases**
- **Mold & mildew**



# Clarkson University Undergrads Research Link Between Hauntings & Indoor Air Quality

A team of Clarkson University researchers is studying the possible links between reported hauntings and indoor air quality.

Associate Professor of Civil & Environmental Engineering Shane Rogers said human experiences reported in many hauntings are similar to mental or neurological symptoms reported by some individuals exposed to toxic molds. It is known that some fungi, such as rye ergot fungus, may cause severe psychosis in humans.

The links between exposure to toxic indoor molds and psychological effects in people are not well established, however, Rogers said. Notably, many hauntings are associated with structures that are prime environments to harbor molds or other indoor air quality problems.

"Hauntings are very widely reported phenomena that are not well-researched," he said. "They are often reported in older-built structures that may also suffer poor air quality. Similarly, some people have reported depression, anxiety and other effects from exposure to biological pollutants in indoor air. We are trying to determine whether some reported hauntings may be linked to specific pollutants found in indoor air."



# Causes of Sick Building Syndrome

**Table 1.2 Problem types identified in NIOSH building investigations.**

<b>Problem type</b>	<b>Buildings investigated</b>	<b>%</b>
Contamination from indoor sources	80	15
Contamination from outdoor sources	53	10
Building fabric as contaminant source	21	4
Microbial contamination	27	5
Inadequate ventilation	280	53
Unknown	68	13
Total	529	100

From Seitz, T.A. 1989. *Proceedings Indoor Air Quality International Symposium: The Practitioner's Approach to Indoor Air Quality Investigations*. American Industrial Hygiene Association. Akron, OH. With permission.



# What is Proper Ventilation Rate?

- Base on:
- Brief overview follows...



**ANSI/ASHRAE Standard 62.1-2013**  
(Supersedes ANSI/ASHRAE Standard 62.1-2010)  
Includes ANSI/ASHRAE addenda listed in Appendix J

**Ventilation  
for Acceptable  
Indoor Air Quality**

## Section 3: Definitions

### **Acceptable indoor air quality:**

Air in which there are no known contaminants at harmful concentrations and with which a substantial majority (80% or more) of the people exposed do not express dissatisfaction.

**Ventilation:** The process of supplying air to or removing air from a space for the purpose of controlling air contaminant levels, humidity, or temperature within a space.



## Section 6 - Procedures

- 6.1: Use *Ventilation Rate Procedure* (prescriptive) or *Indoor Air Quality Procedure* (performance)
- 6.2: Ventilation Rate Procedure
  - 6.2.1 Outdoor air treatment for PM10 or ozone
  - 6.2.2-6.2.7 Calculation procedures [Example later]
  - 6.2.8 Exhaust requirements by space type
- 6.3: IAQ Procedure (measure it)

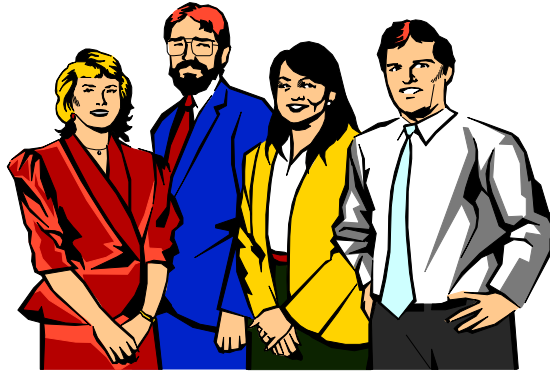
# Rationale for Ventilation Rates

- Recognizes building as a source of indoor air pollutants
- Accounts for ventilation efficiency
- More studies to guide rate selection (almost exclusively offices)
- Rates still largely based on judgment of the ASHRAE Project Committee

# Ventilation Rate Procedure

*Outdoor Air  
Ventilation  
Rate for  
Breathing  
Zone*

**People Component**



**Building Component**



$$V_{bz} = R_p P_d + R_b A_b$$

Minimum  
cfm/Person

x

Number of  
People

+

Minimum  
cfm/sq ft

x

Building  
Area

**Zone Outdoor  
Air Flow**

$$V_{oz} = V_{bz} / E_z$$

*Ventilation  
Effectiveness*



# Air Distribution Effectiveness

- Standard 129 (+ lab/field experience)
- Ventilation Rate Procedure table, or test

Air Distribution Configuration (Examples)	$E_z$
Ceiling supply of cool air	1.0
Ceiling supply of warm air 15°F (8°C) or more above space temperature and ceiling return.	0.8
Floor supply of cool air and ceiling return, provided low-velocity displacement ventilation achieves unidirectional flow and thermal stratification	1.2
Floor supply of warm air and floor return	1.0
Floor supply of warm air and ceiling return	0.7
Makeup supply drawn in on the opposite side of the room from the exhaust and/or return	0.8
Makeup supply drawn in near to the exhaust and/or return location	0.5

# What about this situation?



# Ventilation Rate Procedure

## Total Outdoor Airflow ( $V_{ot}$ )

- Single zone systems

$$V_{ot} = V_{oz}$$

- 100% outdoor air systems

$$V_{ot} = \Sigma_{all\ zones} V_{oz}$$

- Multiple-zone recirculating systems

$$V_{ou} = D \Sigma_{all\ zones} (R_p \cdot P_z) + \Sigma_{all\ zones} (R_a \cdot A_z)$$

$$V_{ot} = V_{ou} / E_v$$

# From Standard 62.1

**TABLE 6-1 MINIMUM VENTILATION RATES IN BREATHING ZONE**  
(This table is not valid in isolation; it must be used in conjunction with the accompanying notes.)

Occupancy Category	People Outdoor Air Rate		Area Outdoor Air Rate		Notes	Default Values			Air Class
	$R_p$		$R_a$			Occupant Density (see Note 4)	Combined Outdoor Air Rate (see Note 5)		
	cfm/person	L/s·person	cfm/ft <sup>2</sup>	L/s·m <sup>2</sup>		#/1000 ft <sup>2</sup> or #/100 m <sup>2</sup>	cfm/person	L/s·person	
Educational Facilities									
Daycare (through age 4)	10	5	0.18	0.9		25	17	8.6	2
Daycare sickroom	10	5	0.18	0.9		25	17	8.6	3
Classrooms (ages 5–8)	10	5	0.12	0.6		25	15	7.4	1
Classrooms (age 9 plus)	10	5	0.12	0.6		35	13	6.7	1
Lecture classroom	7.5	3.8	0.06	0.3		65	8	4.3	1
Lecture hall (fixed seats)	7.5	3.8	0.06	0.3		150	8	4.0	1
Art classroom	10	5	0.18	0.9		20	19	9.5	2
Science laboratories	10	5	0.18	0.9		25	17	8.6	2
University/college laboratories	10	5	0.18	0.9		25	17	8.6	2
Wood/metal shop	10	5	0.18	0.9		20	19	9.5	2
Computer lab	10	5	0.12	0.6		25	15	7.4	1
General									
Break rooms	5	2.5	0.06	0.3		25	10	5.1	1
Coffee stations	5	2.5	0.06	0.3		20	11	5.5	1
Conference/meeting	5	2.5	0.06	0.3		50	6	3.1	1



Example: This Room

# Outdoor Air Monitoring Options

- CO<sub>2</sub> based monitoring
- Direct measurement of outdoor airflow

# LEED-Existing Buildings: IEQ

- **Prerequisites:**

- Ventilation: Outdoor air per Std. 62.1 and verify exhaust fans working
- Environmental tobacco smoke control
- Green cleaning program



# LEED-EB V4: IEQ

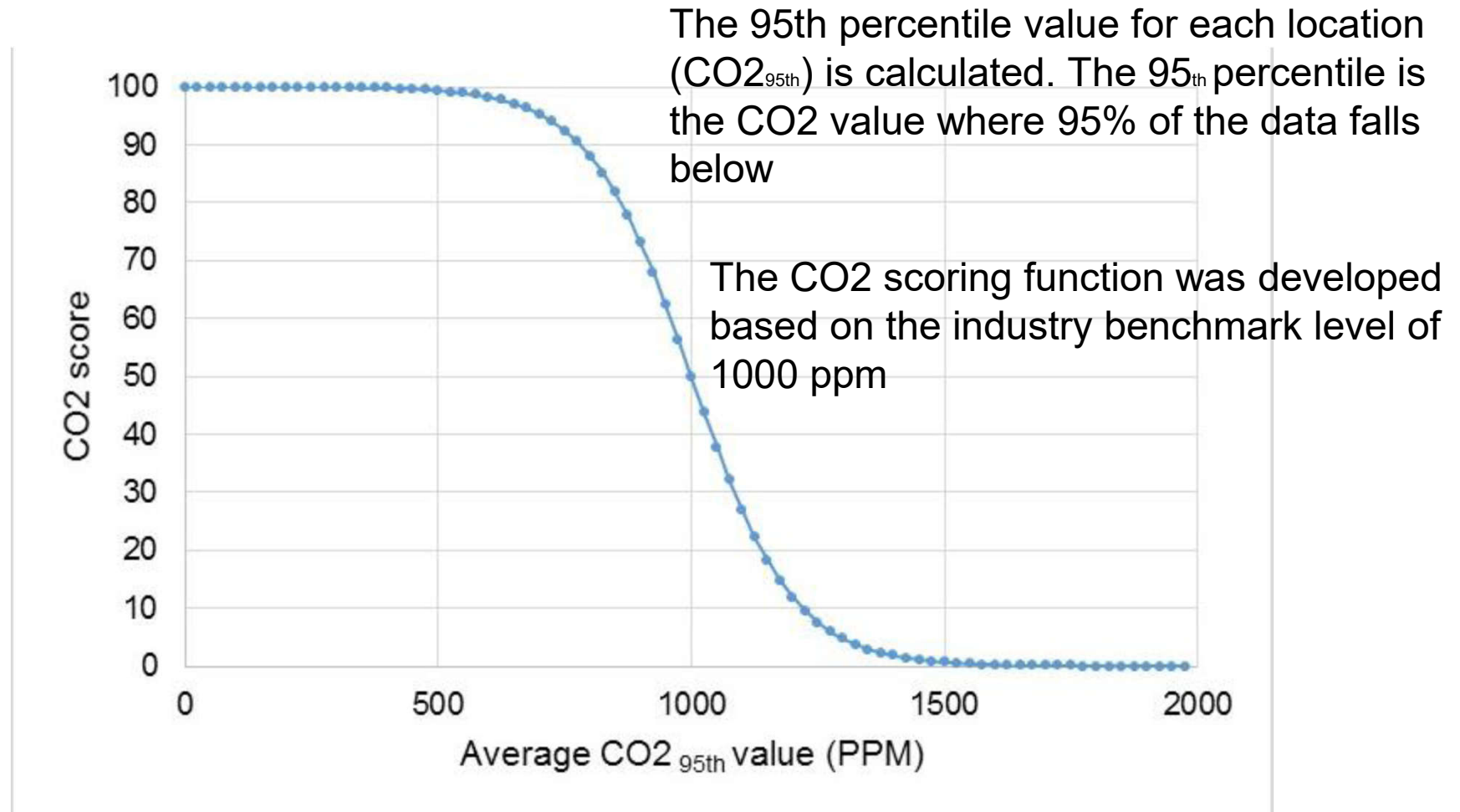
- **Credit 1.2: Outdoor Air Monitoring**
- **Case 1 – Mechanical Ventilation:**
  - For non-densely occupied, monitor to ensure outdoor air within 15% of required
- **Case 2 – Mechanical Ventilation Densely Occupied:**
  - Provide a sensor for each densely occupied (25 / 1000 ft<sup>2</sup>) space, maintain calibration, monitor and alarm or DCV
- **Option C:** Naturally ventilated based on CO<sub>2</sub> sensors, 530 ppm above ambient

# LEED-EB V4: IEQ

- **Credit 1.3: Increased Ventilation:** Breathing zone outdoor air increase by 30% above Std 62.1-2007 minimums

***Do you want to really do this???***

## V4.1: EQ ~~CREDIT~~ PREREQUISITE: INDOOR ENVIRONMENTAL QUALITY PERFORMANCE



# Overall Outline

- Brief overview of what is proper ventilation rate, and methods to introduce into the space?
- Maintaining and monitoring of indoor air quality in buildings
  - LEED existing buildings on IEQ
  - CO<sub>2</sub> based monitoring versus  
Direct measurement of outdoor airflow
  - What CO<sub>2</sub> levels are appropriate?
    - Monitoring
    - Demand-controlled ventilation setpoint
- Demand control ventilation design and issues

# CO<sub>2</sub> Monitoring Questions/Issues

- What is it, and what is the purpose?
- Anyone tried this? And was it successful?
- What should be the “setpoint” for too high CO<sub>2</sub> level?
- Does one size (one CO<sub>2</sub> level) fit all?
- Sensor accuracy – does it make a difference?
- Is this an ever moving target?
  - Occupancy variations
  - Room size, air distribution, mixing



# CO<sub>2</sub> Monitoring Guidance

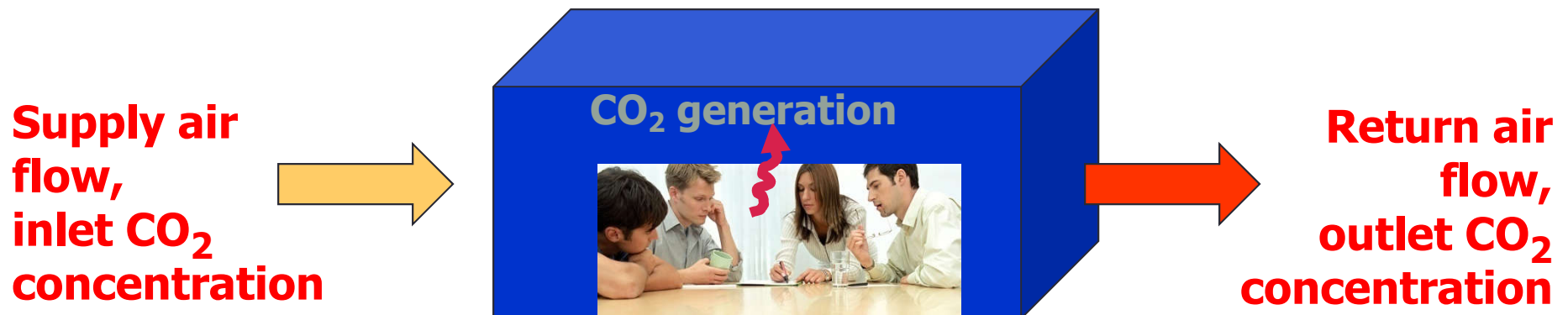
## Selecting **CO<sub>2</sub>** Criteria for Outdoor Air Monitoring

By Thomas M. Lawrence, Ph.D., P.E., Member ASHRAE

# Determining Steady-State CO<sub>2</sub> Concentration

$$C_R = C_{OA} + \frac{\text{Rate of CO}_2 \text{ generation}}{\text{Rate of CO}_2 \text{ removal}}$$

- Generation based on # people and their activity level (@ 1 MET = 0.0084 cfm/person)
- Removal rate

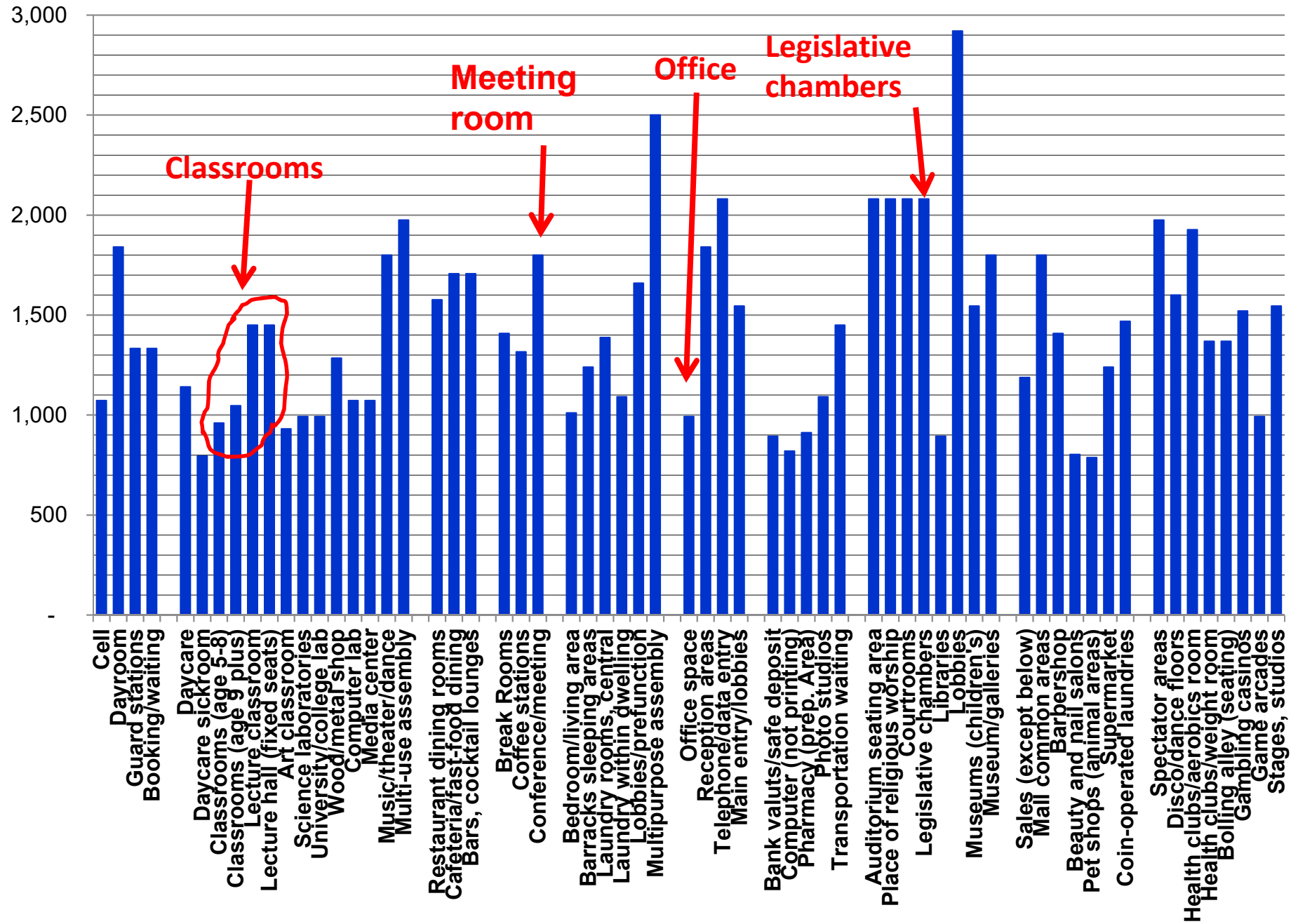


Also can consider as:

**CO<sub>2</sub> removal = Outdoor air flow x [outlet-inlet] CO<sub>2</sub> concentration**

ppm CO<sub>2</sub>

## Steady-State Room Concentrations by Zone Occupancy Type



# Potential CO<sub>2</sub> Monitoring Situations

- Monitoring for “adequate” outdoor airflow
  - *Expected steady-state concentration, with allowance for sensor error*
- Demand-controlled ventilation, upper control limit
  - *Allowance for sensor error, system response time, etc. (steady-state – 10%)*
- Monitoring for LEED-EB Credit 1.2
  - *Expected steady-state concentration + 15%*

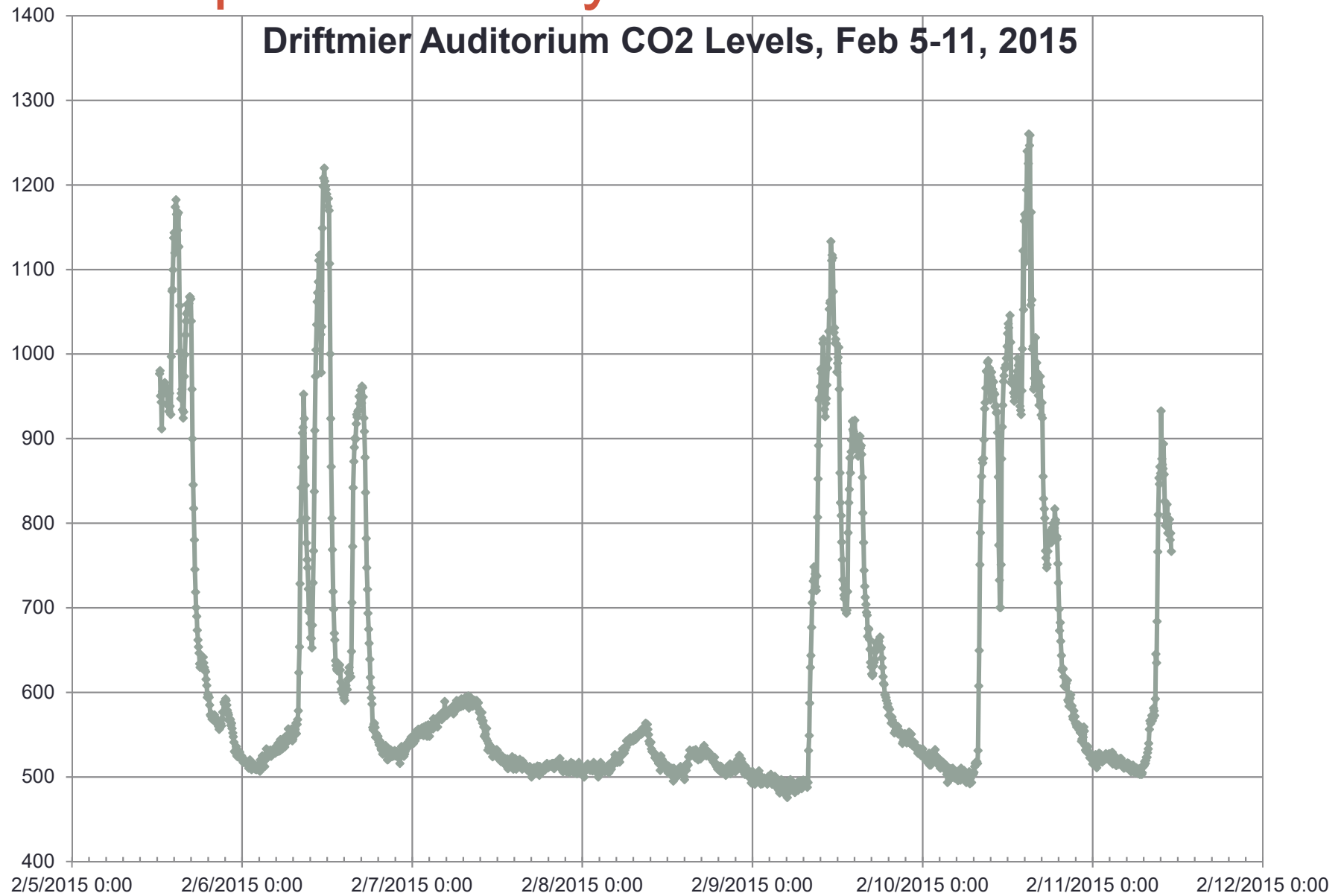
**Table 1: Computed and Recommended CO<sub>2</sub> Concentrations for Outdoor Airflow Monitoring or DCV Upper Control Limit**

	Default Values Combined Outdoor Air Rate Per Person		Assumed Activity Level	CO <sub>2</sub> Generation	Actual Steady-State Concentration	Monitoring Program Concentration (Alarm Level)	DCV Upper Control Limit Concentration (Caution Level)	LEED-EB IEQ Credit 1 Concentration
Occupancy Category	cfm	L/s	(met)*	(cfm per person)	(ppm) <sup>†</sup>	(ppm) <sup>†</sup>	(ppm) <sup>†,‡</sup>	(ppm) <sup>†,§</sup>
<b>Educational Facilities</b>								
Day Care (Through Age 4)	17	8.6	1.5	0.013	1,141	1,300	1,027	1,312
Day Care Sickroom	17	8.6	0.8	0.007	795	900	716	915
Classrooms (Age 5–8)	15	7.4	1	0.008	960	1,100	864	1,104
Classrooms (Age 9+)	13	6.7	1	0.008	1,046	1,200	942	1,203
Lecture Classroom	8	4.3	1	0.008	1,450	1,600	1,305	1,668
Lecture Hall (Fixed Seats)	8	4	1	0.008	1,450	1,600	1,305	1,668
<b>Office Buildings</b>								
Office Space	17	8.5	1.2	0.010	993	1,100	894	1,142
Reception Areas	7	3.5	1.2	0.010	1,840	2,000	1,656	2,116
Telephone/Data Entry	6	3	1.2	0.010	2,080	2,200	1,872	2,392
Main Entry/Lobbies	11	5.5	1.5	0.013	1,545	1,700	1,391	1,777
<b>Public Assembly Spaces</b>								
Auditorium Seating Area	5	2.7	1	0.008	2,080	2,200	1,872	2,392

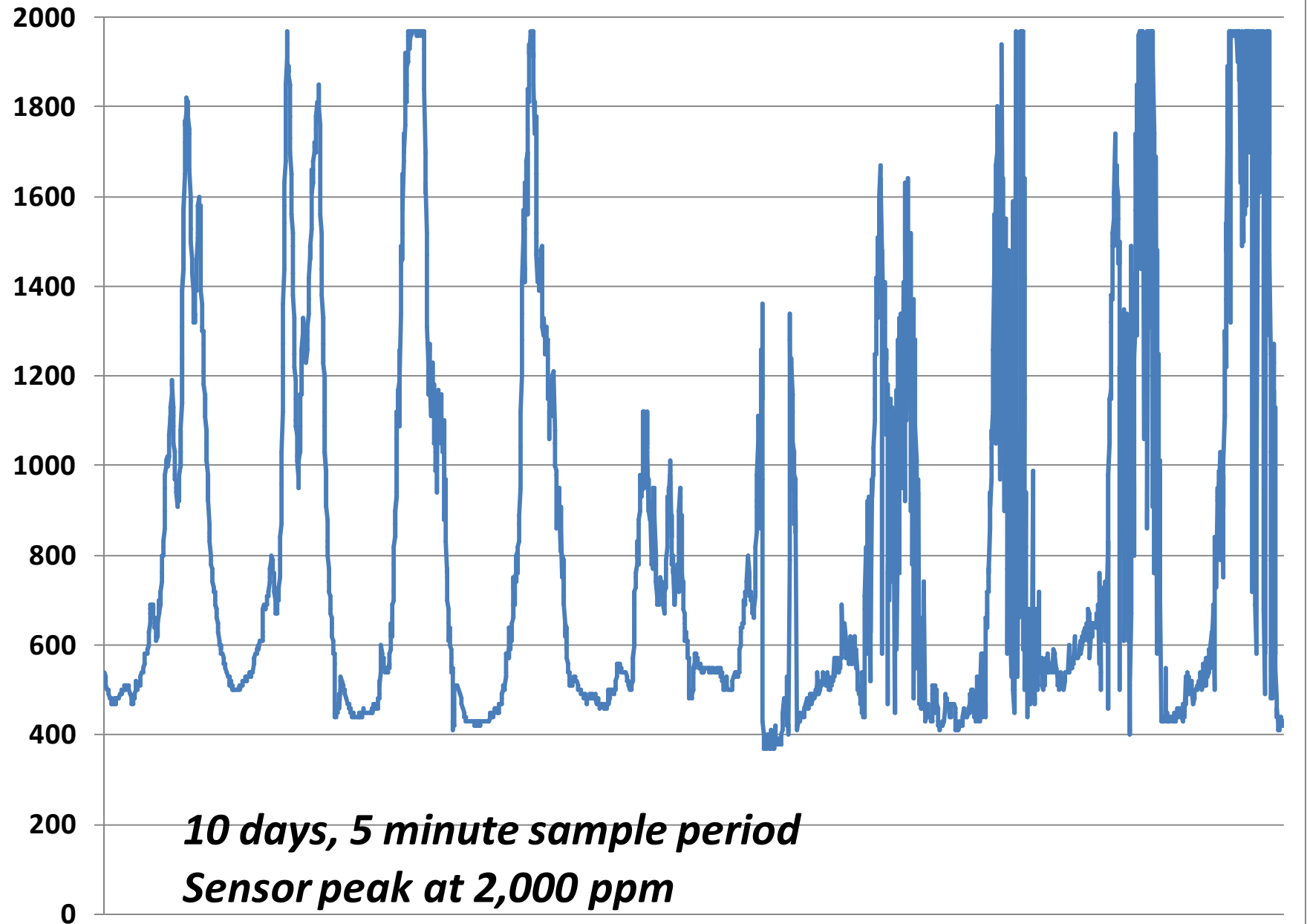


				Assumed activity level	CO2 Generation	Actual Steady- State Concentration	Monitoring Program Concentration (Alarm Level)	DCV Upper Control Limit Concentration (Caution Level)	LEED-EB IEQ Credit 1 Concentration
0.01	# People	Total outdoor airflow							
		cfm	l/s						
	80	460		1	0.0084	1,861	1,936	1,675	2,140

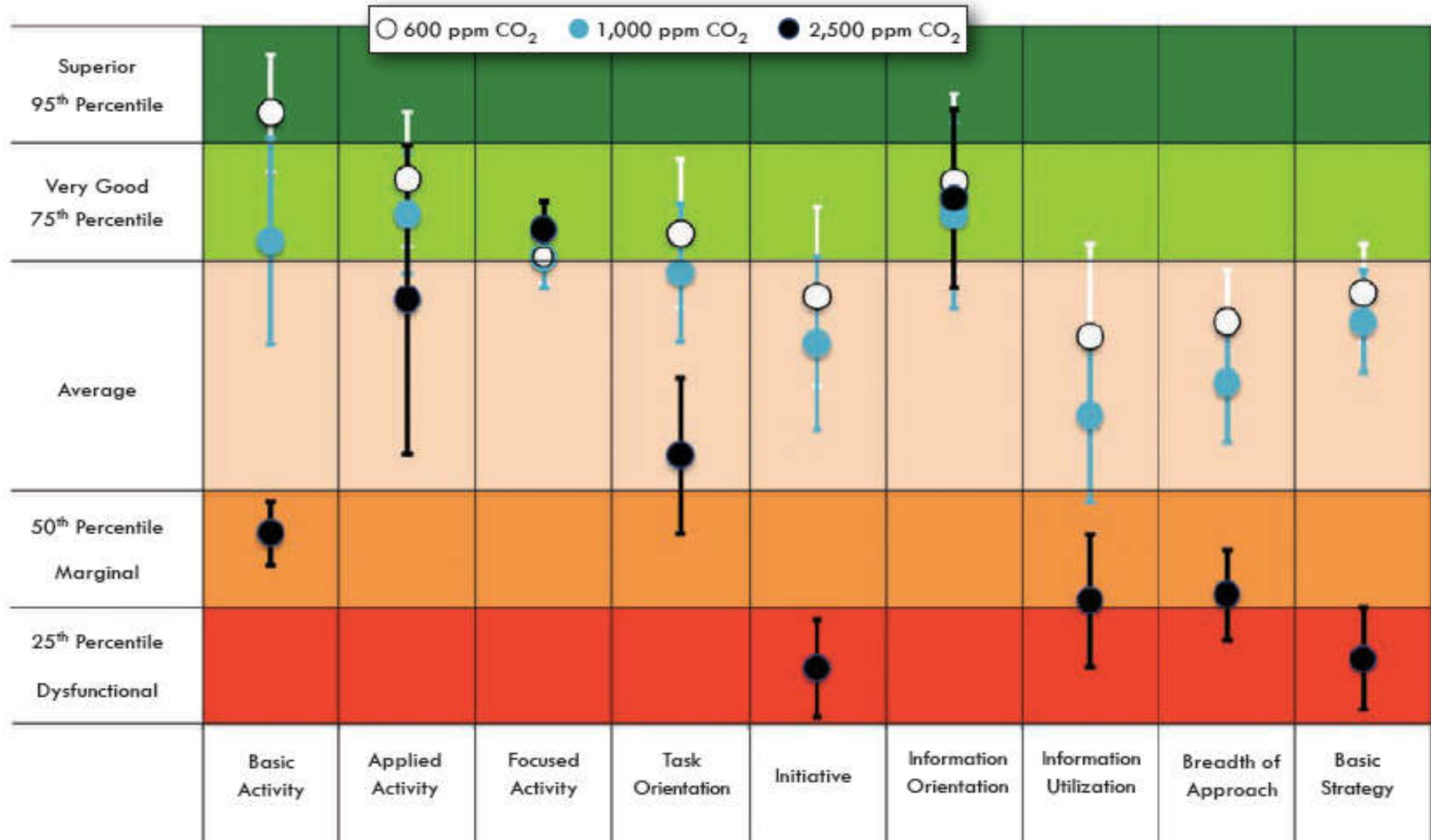
# Example University Classroom



# Example Fastfood Kids Play Area



# Is CO<sub>2</sub> an Indoor Pollutant?



Fisk, et al., ASHRAE Journal March 2013

## Outdoor Air Monitoring: Potential Responses to Alarm

- Do nothing...  
*“Ignore problems as they will likely go away”*
- Increase (if possible) outdoor air intake flow
- Schedule a system inspection
- Immediate response to check outdoor air intakes, damper positions
- Review room usage and expected occupancy, was this a fluke?



# ASHRAE Standard 189.1 Outdoor Air Monitoring and IEQ

# Indoor Environmental Quality

## §8.3.1 IAQ

- Minimum ventilation design outdoor airflow rate per Standard 62.1, using Ventilation Rate Procedure

## §8.3.2 Outdoor Air Monitoring

- Permanently mounted, direct outdoor airflow measurement  $\pm 15\%$  of ***minimum outdoor airflow***  
(Differs from LEED in that CO<sub>2</sub> monitoring for densely occupied spaces not specified)
- Constant volume air supply, damper position feedback allowable instead





# High Performance Building Operation Plan

## Indoor Environmental Quality

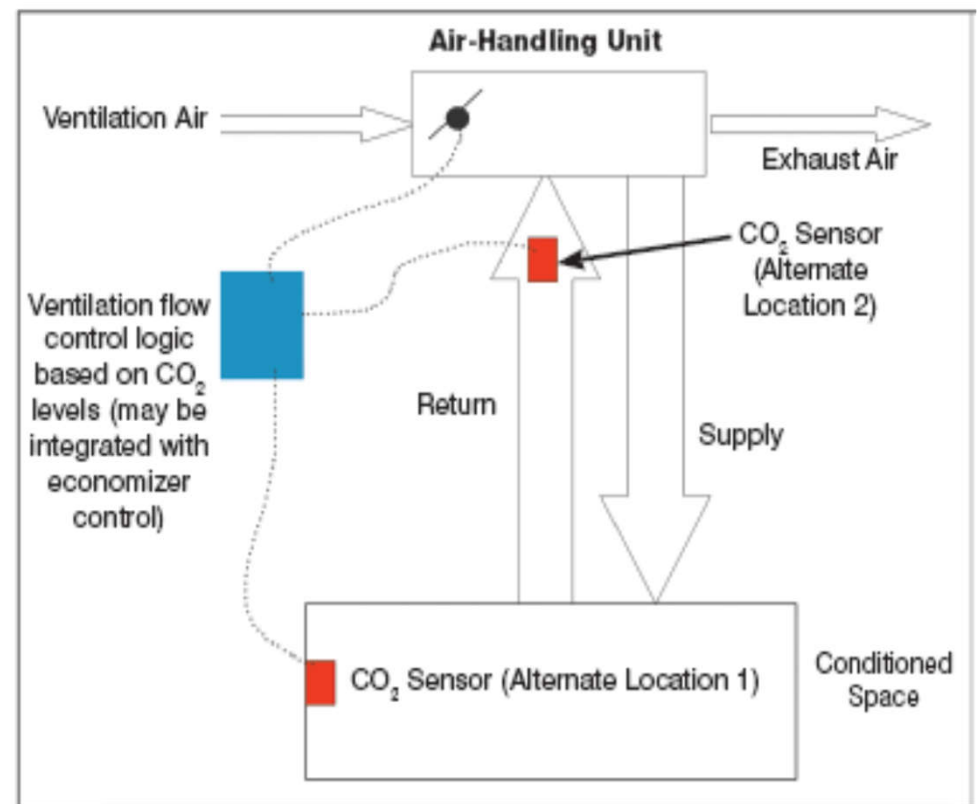
- Outdoor airflow measurement using handheld or permanently installed stations
  - Procedure to react if 10% lower than *minimum outdoor airflow rate*
- Indoor air quality
  - Air cleaning equipm't in non-attainment areas
  - Biennial monitoring through testing, occupant perception or complaint/response programs
- Green cleaning

# Demand Control Ventilation - Concept

- Primary method is to use CO<sub>2</sub> levels or other methods to indirectly determine level of occupancy and ventilation needed

***Alternative and more inclusive term:  
Outdoor air reset***

**What factors are involved with CO<sub>2</sub> estimate of occupancy?**



# Demand Control Ventilation – Pro / Con Summary

## **Demand-Controlled Ventilation and Sustainability**

*ASHRAE Journal,  
December 2004*

By Tom Lawrence, Ph.D., P.E., Member ASHRAE

### **Pro**

- Reduces building's energy use through not conditioning 'unnecessary' outdoor air
- Maintains adequate ventilation through monitoring

### **Con**

- Additional first cost
- Sensors need calibration

## Another reference:

Demand-Controlled Ventilation

May 2006

# CO<sub>2</sub>-Based DCV Using 62.1-2004

By Steven T. Taylor, P.E., Fellow ASHRAE

Std. 62.1

Mass balance of CO<sub>2</sub>

$$V_{bz} = R_p P_z + R_a A_z$$

$$\dot{N} = V'_{ot} (C_R - C_{OA})$$

$$V'_{ot} = \frac{V_{bz}}{E_z}$$

$$\dot{N} = V_{pz} (C_{RA} - C_s) + V'_{ot} (C_R - C_{RA})$$

$$\dot{N} = k m P_z$$

$$k = 0.0084 \text{ cfm/met/person}$$

$$V'_{ot} = \frac{R_a A_z}{E_z - \frac{R_p (C_R - C_{OA})}{8400m}}$$

# Design Decisions

- Sensor location
- # of sensors
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room? Per zone?
- Control integration
- Design + installation, commissioning
- Alternatives to CO<sub>2</sub> based control

# Design Decisions

- **Sensor location**
  - **Ideally in the zone, at breathing height**
- # of sensors
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room? Per zone?
- Control integration
- Design + installation, commissioning
- Alternatives to CO<sub>2</sub> based control



Sensor Location  
?



# Design Decisions

- Sensor location
- **# of sensors**
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room?  
Per zone?
  - Don't locate CO<sub>2</sub> sensor below t-stat (heat)
  - Include occupancy sensor also, controls VAV minimum setpoint
- Control integration
- Design + installation, commissioning
- Alternatives to CO<sub>2</sub> based control

# Issues with # of Sensors

- Accuracy

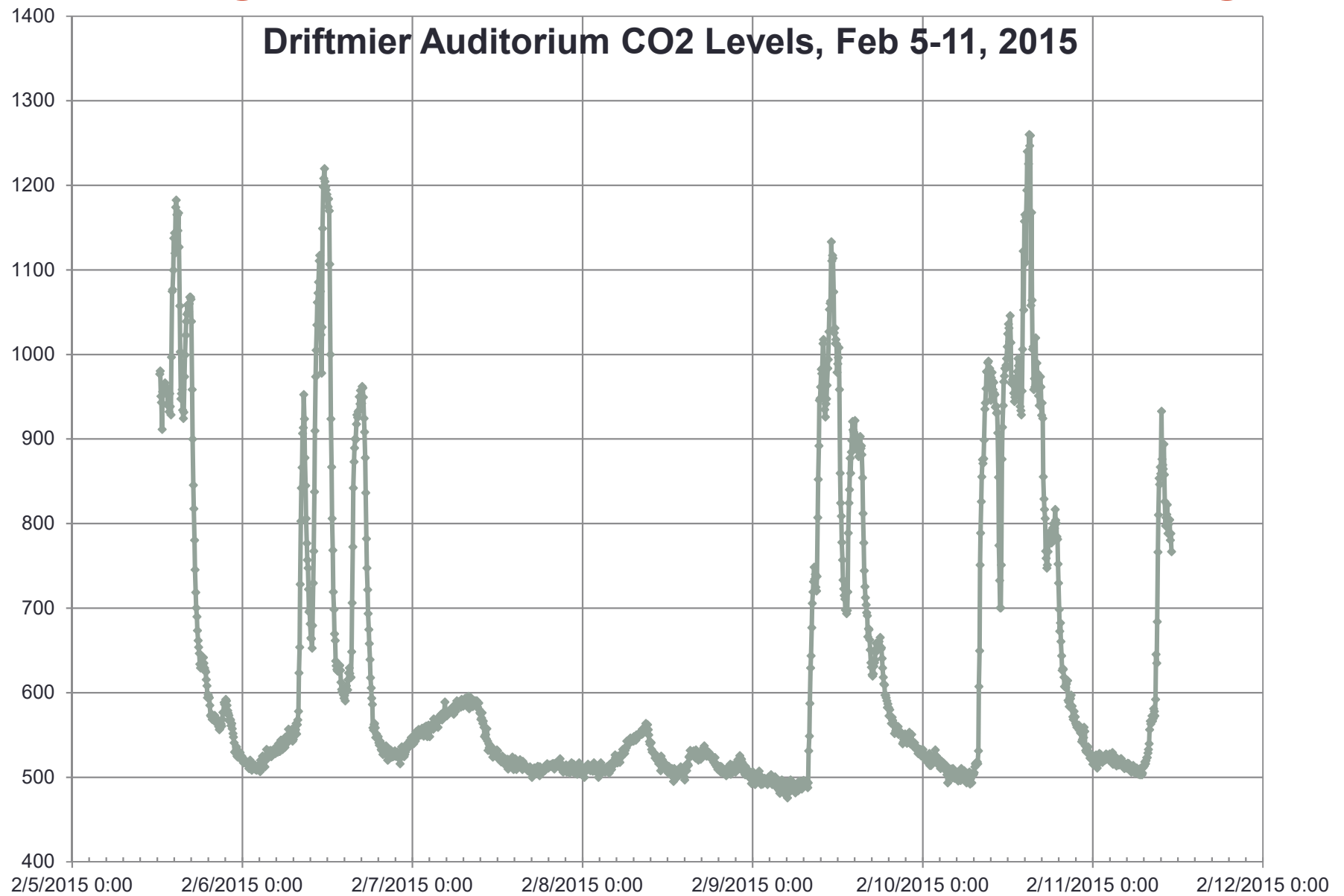
*What is typical sensor accuracy (as quoted by mfr's)?*

- Calibration and drift
- Do they work as promised?
- Need for good commissioning of the components and system as a whole

# Design Decisions

- Sensor location
- # of sensors
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room? Per zone?
- **Control integration**
  - Zone CO<sub>2</sub> sensor does not account for VAV box signals
  - Upper limit set on outdoor air? (mitigates failure of sensor, potential freezing, etc.)
  - Interaction with air side economizing
  - Minimum OA flow = building zone component of ventilation rate procedure
  - Building pressurization issues ...
  - What CO<sub>2</sub> setpoint to use
- Alternatives to CO<sub>2</sub> based control

# Allowing Response Time and CO<sub>2</sub> Changes



# CO<sub>2</sub> DCV in Multi-Zone Systems

- The Standard 62.1 User's Manual (2010) one DCV approach for single-zone systems, but states that DCV for multiple-zone recirculating systems (MZS) have not been adequately developed or researched
- ASHRAE research project RP1547 studied single-path VAV system control logic for CO<sub>2</sub> based dynamic reset.
  - CO<sub>2</sub>-based dynamic reset, now combined with a zone primary airflow set-point reset
  - zone primary airflow rate minimum set-point is first reset to increase system ventilation efficiency, which leads to a reduced system outdoor airflow rate
- **STILL COMPLICATED!**

Xingbin Lin & Josephine Lau (2015) Demand-controlled ventilation for multiple-zone HVAC systems—Part 2: CO<sub>2</sub>-based dynamic reset with zone primary airflow minimum set-point reset (RP-1547), Science and Technology for the Built Environment, 21:8, 1100-1108, DOI: [10.1080/23744731.2015.1072043](https://doi.org/10.1080/23744731.2015.1072043)

# Design Decisions

- Sensor location
- # of sensors
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room? Per zone?
- Control integration
- **Design + installation, commissioning**
  - **Who is the responsible party for design and integration?**
  - **Commission (and then re-commission 1-2 years later)**
- Alternatives to CO<sub>2</sub> based control

# Design Decisions

- Sensor location
- # of sensors
  - Should we include an outdoor air CO<sub>2</sub> sensor?
  - How many... one for each room? Per zone?
- Control integration
- Design + installation, commissioning
- **Alternatives to CO<sub>2</sub> based control**
  - **Improved IR sensor occupancy detection**
  - **Scheduled occupancy**
  - **Smart buildings, smart controls concepts**





# Thank you!

- Comments, questions, concerns, advice ...

Dr. Tom Lawrence, P.E., LEED-AP,  
F. ASHRAE

**proftom@uga.edu**